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DEPUTY UNDER SECRETARY OF STATE
WASHINGTON

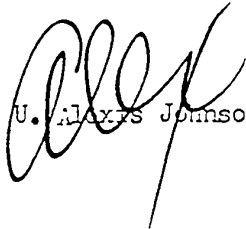
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November 11, 1961

~~TOP SECRET ENCLOSURE~~

MEMORANDUM FOR MR. MCGEORGE BUNDY

Attached is the original and one copy of the memorandum for discussion with the President at the noon meeting today. Copies are separately being sent to Walt Rostow and General Taylor.


U. Alexis Johnson

Enclosures:

Original and one copy of
Memorandum for the President,
dated November 11, 1961.

~~TOP SECRET ENCLOSURE~~

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DEPARTMENT OF STATE
WASHINGTON

November 11, 1961

~~TOP SECRET~~

MEMORANDUM FOR THE PRESIDENT

Subject: South Viet-Nam

1. United States National Interests in South Viet-Nam.

The deteriorating situation in South Viet-Nam requires attention to the nature and scope of United States national interests in that country. The loss of South Viet-Nam to Communism would involve the transfer of a nation of 20 million people from the free world to the Communist bloc. The loss of South Viet-Nam would make pointless any further discussion about the importance of Southeast Asia to the free world; we would have to face the near certainty that the remainder of Southeast Asia and Indonesia would move to a complete accommodation with Communism, if not formal incorporation within the Communist bloc. The United States, as a member of SEATO, has commitments with respect to South Viet-Nam under the Protocol to the SEATO Treaty. Additionally, in a formal statement at the conclusion session of the 1954 Geneva Conference, the United States representative stated that the United States "would view any renewal of the aggression . . . with grave concern and as seriously threatening international peace and security." (Full text of statement attached.)

The loss of South Viet-Nam to Communism would not only destroy SEATO but would undermine the credibility of American commitments elsewhere. Further, loss of South Viet-Nam would stimulate bitter domestic controversies in the United States and would be seized upon by extreme elements to divide the country and harass the Administration.

2. The Problem of Saving South Viet-Nam.

It seems, on the face of it, absurd to think that a nation of 20 million people can be subverted by 15-20 thousand active guerrillas

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if the Government and people of that country do not wish to be subverted. South Viet-Nam is not, however, a highly organized society with an effective governing apparatus and a population accustomed to carrying civic responsibility. Public apathy is encouraged by the inability of most citizens to act directly as well as by the tactics of terror employed by the guerrillas throughout the countryside. Inept administration and the absence of a strong non-Communist political coalition have made it difficult to bring available resources to bear upon the guerrilla problem and to make the most effective use of available external aid. Under the best of conditions the threat posed by the presence of 15-20 thousand guerrillas, well disciplined under well-trained cadres, would be difficult to meet.

3. The United States' Objective in South Viet-Nam.

The United States should commit itself to the clear objective of preventing the fall of South Viet-Nam to Communism. The basic means for accomplishing this objective must be to put the Government of South Viet-Nam into a position to win its own war against the guerrillas. We must insist that that Government itself take the measures necessary for that purpose in exchange for large-scale United States assistance in the military, economic and political fields. At the same time we must recognize that it will probably not be possible for the GVN to win this war as long as the flow of men and supplies from North Viet-Nam continues unchecked and the guerrillas enjoy a safe sanctuary in neighboring territory.

We should be prepared to introduce United States combat forces if that should become necessary for success. Dependent upon the circumstances, it may also be necessary for United States forces to strike at the source of the aggression in North Viet-Nam.

4. The Use of United States Forces in South Viet-Nam.

The commitment of United States forces to South Viet-Nam involves two different categories: (A) Units of modest size required for the direct support of South Viet-Namese military effort, such as communications, helicopter and other forms of airlift, reconnaissance aircraft, naval patrols, intelligence units, etc., and (B) larger organized units with actual or potential direct military missions. Category (A) should be introduced as speedily as possible. Category (B) units pose a more serious problem in that they are much more significant from the point of view of domestic and international political factors and greatly increase the probabilities of Communist bloc escalation. Further, the employment of United States combat forces

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(in the absence of Communist bloc escalation) involves a certain dilemma: if there is a strong South Viet-Nameese effort, they may not be needed; if there is not such an effort, United States forces could not accomplish their mission in the midst of an apathetic or hostile population. Under present circumstances, therefore, the question of injecting United States and SEATO combat forces should in large part be considered as a contribution to the morale of the South Viet-Nameese in their own effort to do the principal job themselves.

5. Probable Extent of the Commitment of United States Forces.

If we commit Category (B) forces to South Viet-Nam, the ultimate possible extent of our military commitment in Southeast Asia must be faced. The struggle may be prolonged, and Hanoi and Peiping may overtly intervene. It is the view of the Secretary of Defense and the Joint Chiefs of Staff that, in the light of the logistic difficulties faced by the other side, we can assume that the maximum United States forces required on the ground in Southeast Asia would not exceed six divisions, or about 205,000 men (CINCPAC Plan 32/59 Phase IV). This would be in addition to local forces and such SEATO forces as may be engaged. It is also the view of the Secretary of Defense and the Joint Chiefs of Staff that our military posture is, or, with the addition of more National Guard or regular Army divisions, can be made, adequate to furnish these forces and support them in action without serious interference with our present Berlin plans.

6. Relation to Laos.

It must be understood that the introduction of American combat forces into Viet-Nam prior to a Laotian settlement would run a considerable risk of stimulating a Communist breach of the cease fire and a resumption of hostilities in Laos. This could present us with a choice between the use of combat forces in Laos or an abandonment of that country to full Communist control. At the present time, there is at least a chance that a settlement can be reached in Laos on the basis of a weak and unsatisfactory Souvanna Phouma Government. The prospective agreement on Laos includes a provision that Laos will not be used as a transit area or as a base for interfering in the affairs of other countries such as South Viet-Nam. After a Laotian settlement, the introduction of United States forces into Viet-Nam could serve to stabilize the position both in Viet-Nam and in Laos by registering our determination to see to it that the Laotian settlement was as far as the United States would be willing to see Communist influence in Southeast Asia develop.

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7. The Need for Multilateral Action.

From the political point of view, both domestic and international, it would seem important to involve forces from other nations alongside of United States Category (B) forces in Viet-Nam. It would be difficult to explain to our own people why no effort had been made to invoke SEATO or why the United States undertook to carry this burden unilaterally. Our position would be greatly strengthened if the introduction of forces could be taken as a SEATO action, accompanied by units of other SEATO countries, with a full SEATO report to the United Nations of the purposes of the action itself.

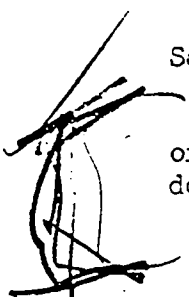
Apart from the armed forces, there would be political advantage in enlisting the interest of other nations, including neutrals, in the security and well-being of South Viet-Nam. This might be done by seeking such assistance as Malayan police officials (recently offered Diem by the Turks) and by technical assistance personnel in other fields, either bilaterally or through international organizations.

8. Initial Diplomatic Action by the United States.

If the recommendations, below, are approved, the United States should consult intensively with other SEATO governments to obtain their full support of the course of action contemplated. At the appropriate stage, a direct approach should be made by the United States to Moscow, through normal or special channels, pointing out that we cannot accept the movement of cadres, arms and other supplies into South Viet-Nam in support of the guerrillas. We should also discuss the problem with neutral governments in the general area and get them to face up to their own interests in the security of South Viet-Nam; these governments will be concerned about (a) the introduction of United States combat forces and (b) the withdrawal of United States support from Southeast Asia; their concern, therefore, might be usefully expressed either to Communist bloc countries or in political support for what may prove necessary in South Viet-Nam itself.

RECOMMENDATIONS

In the light of the foregoing, the Secretary of State and the Secretary of Defense recommend that:

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1. We now take the decision to commit ourselves to the objective of preventing the fall of South Viet-Nam to Communism and that, in doing so, we recognize that the introduction of United States and other

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SEATO forces may be necessary to achieve this objective. (However, if it is necessary to commit outside forces to achieve the foregoing objective, our decision to introduce United States forces should not be contingent upon unanimous SEATO agreement thereto.)

2. The Department of Defense be prepared with plans for the use of United States forces in South Viet-Nam under one or more of the following purposes:

(a) Use of a significant number of United States forces to signify United States determination to defend South Viet-Nam and to boost South Viet-Nam morale.

(b) Use of substantial United States forces to assist in suppressing Viet Cong insurgency short of engaging in detailed counter-guerrilla operations but including relevant operations in North Viet-Nam.

(c) Use of United States forces to deal with the situation if there is organized Communist military intervention.

3. We immediately undertake the following actions in support of the GVN:

(a) Provide increased air lift to the GVN forces, including helicopters, light aviation, and transport aircraft, manned to the extent necessary by United States uniformed personnel and under United States operational control.

(b) Provide such additional equipment and United States uniformed personnel as may be necessary for air reconnaissance, photography, instruction in and execution of air-ground support techniques, and for special intelligence.

(c) Provide the GVN with small craft, including such United States uniformed advisers and operating personnel as may be necessary for quick and effective operations in effecting surveillance and control over coastal waters and inland waterways.

(d) Provide expedited training and equipping of the civil guard and the self-defense corps with the objective of relieving the regular Army of static missions and freeing it for mobile offensive operations.

(e) Provide such personnel and equipment as may be necessary to improve the military-political intelligence system beginning

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at the provincial level and extending upward through the Government and the armed forces to the Central Intelligence Organization.

(f) Provide such new terms of reference, reorganization and additional personnel for United States military forces as are required for increased United States participation in the direction and control of GVN military operations and to carry out the other increased responsibilities which accrue to MAAG under these recommendations.

(g) Provide such increased economic aid as may be required to permit the GVN to pursue a vigorous flood relief and rehabilitation program, to supply material in support of the security effort, and to give priority to projects in support of this expanded counter-insurgency program. (This could include increases in military pay, a full supply of a wide range of materials such as food, medical supplies, transportation equipment, communications equipment, and any other items where material help could assist the GVN in winning the war against the Viet Cong.)

(h) Encourage and support (including financial support) a request by the GVN to the FAO or any other appropriate international organization for multilateral assistance in the relief and rehabilitation of the flood area.

(i) Provide individual administrators and advisers for insertion into the Governmental machinery of South Viet-Nam in types and numbers to be agreed upon by the two Governments.

(j) Provide personnel for a joint survey with the GVN of conditions in each of the provinces to assess the social, political, intelligence, and military factors bearing on the prosecution of the counter-insurgency program in order to reach a common estimate of these factors and a common determination of how to deal with them.

4. Ambassador Nolting be instructed to make an immediate approach to President Diem to the effect that the Government of the United States is prepared to join the Government of Viet-Nam in a sharply increased joint effort to cope with the Viet Cong threat and the ravages of the flood as set forth under 3., above, if, on its part, the Government of Viet-Nam is prepared to carry out an effective and total mobilization of its own resources, both material and human, for the same end. Before setting in motion the United States proposals

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listed above, the United States Government would appreciate confirmation of their acceptability to the GVN, and an expression from the GVN of the undertakings it is prepared to make to insure the success of this joint effort. On the part of the United States, it would be expected that these GVN undertakings would include, in accordance with the detailed recommendations of the Taylor Mission and the Country Team:

(a) Prompt and appropriate legislative and administrative action to put the nation on a wartime footing to mobilize its entire resources. (This would include a decentralization and broadening of the Government so as to realize the full potential of all non-Communist elements in the country willing to contribute to the common struggle.)

(b) The establishment of appropriate Governmental wartime agencies with adequate authority to perform their functions effectively.

(c) Overhaul of the military establishment and command structure so as to create an effective military organization for the prosecution of the war.

5. Very shortly before the arrival in South Viet-Nam of the first increments of United States military personnel and equipment proposed under 3., above, that would exceed the Geneva Accord ceilings, publish the "Jordan report" as a United States "white paper", transmitting it as simultaneously as possible to the Governments of all countries with which we have diplomatic relations, including the Communist states.

6. Simultaneous with the publication of the "Jordan report", release an exchange of letters between Diem and the President.

(a) Diem's letter would include: reference to the DRV violations of Geneva Accords as set forth in the October 24 GVN letter to the ICC and other documents; pertinent references to GVN statements with respect to its intent to observe the Geneva Accords; reference to its need for flood relief and rehabilitation; reference to previous United States aid and the compliance hitherto by both countries with the Geneva Accords; reference to the USG statement at the time the Geneva Accords were signed; the necessity now of exceeding some provisions of the Accords in view of the DRV violations thereof; the lack of aggressive intent with respect to the DRV: GVN intent to return to strict compliance with the Geneva Accords

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as soon as the DRV violations ceased; and request for additional United States assistance in framework foregoing policy. The letter should also set forth in appropriate general terms steps Diem has taken and is taking to reform Governmental structure.

(b) The President's reply would be responsive to Diem's request for additional assistance and acknowledge and agree to Diem's statements on the intent promptly to return to strict compliance with the Geneva Accords as soon as DRV violations have ceased.

7. Simultaneous with steps 5. and 6., above, make a private approach to the Soviet Union that would include: our determination to prevent the fall of South Viet-Nam to Communism by whatever means is necessary; our concern over dangers to peace presented by the aggressive DRV policy with respect to South Viet-Nam; our intent to return to full compliance with the Geneva Accords as soon as the DRV does so; the distinction we draw between Laos and South Viet-Nam; and our expectation that the Soviet Union will exercise its influence on the Chicoms and the DRV.

8. A special diplomatic approach made to the United Kingdom in its role as co-Chairman of the Geneva Conference requesting that the United Kingdom seek the support of the Soviet co-Chairman for a cessation of DRV aggression against South Viet-Nam.

9. A special diplomatic approach also to be made to India, both in its role as Chairman of the ICC and as a power having relations with Peiping and Hanoi. This approach should be made immediately prior to public release of the "Jorden report" and the exchange of letters between Diem and the President.

10. Immediately prior to the release of the "Jorden report" and the exchange of letters between Diem and the President, special diplomatic approaches also to be made to Canada, as well as Burma, Indonesia, Cambodia, Ceylon, the UAR, and Yugoslavia. SEATO, NATO, and OAS members should be informed through those organizations, with selected members also informed individually. The possibility of some special approach to Poland as a member of the ICC should also be considered.